

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together

with applicable fee(s), to: Mail

Mail Stop ISS FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

33402

7590

09/14/2007

LAW OFFICES OF MARK C. PICKERING

P.O. BOX 300

PETALUMA, CA 94953

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Robin L. King (Depositor's name)  
 Robin L. King (Signature)  
 October 29, 2007 (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

09/678,414

10/02/2000

David W. Carlson

100-13604

4381

TITLE OF INVENTION: METHOD FOR PLANARIZING A THIN FILM

10/31/2007 HNGUYEN2 00000038 09678414

01 FC:1501

1440.00 OP

02 FC:0001

15.00 OP

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|-------------|--------------|---------------|---------------------|----------------------|------------------|----------|
|-------------|--------------|---------------|---------------------|----------------------|------------------|----------|

nonprovisional

NO

\$1400

\$0

\$0

\$1400

12/14/2007

1440-

1440-

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------|----------|----------------|
|----------|----------|----------------|

KEBEDE, BROOK

2823

438-759000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Mark C. Pickering

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

NATIONAL SEMICONDUCTOR CORPORATION

SANTA CLARA, CALIFORNIA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee☐ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 5

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

☒ A check is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 502305 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

Typed or printed name

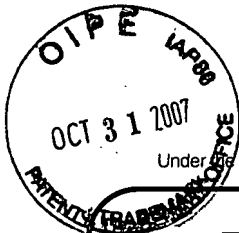
Mark C. Pickering

Registration No.

36,239

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                       |
|------------------------|-----------------------|
| Application Number     | 09/678,414            |
| Filing Date            | October 2, 2000       |
| First Named Inventor   | David W. Carlson      |
| Group Art Unit         | 2823                  |
| Examiner Name          | Kebede, Brook         |
| Attorney Docket Number | 100-13604 (P04797-F4) |

Total Number of Pages in This Submission 6

## ENCLOSURES (check all that apply)

|   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)<br><input checked="" type="checkbox"/> Fee Attached (check for \$1455)<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final (Response)<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Inquiry<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><b>Return Receipt Postcard<br/>Certificate of Mailing<br/>Issue Fee Transmittal<br/>PTOL-85 (copy)</b> |
| Remarks   |  | Please charge any necessary fees or credit overpayment to Deposit Account No. 502305. <u>A duplicate copy of this transmittal is attached for this purpose.</u>  |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |                                    |
|-------------------------|------------------------------------|
| Firm or Individual name | Mark C. Pickering, Reg. No. 36,239 |
| Signature               | <i>Mark C. Pickering</i>           |
| Date                    | October 29, 2007                   |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: October 29, 2007

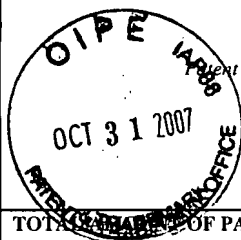
|                       |                      |      |                  |
|-----------------------|----------------------|------|------------------|
| Typed or printed name | Robin L. King        |      |                  |
| Signature             | <i>Robin L. King</i> | Date | October 29, 2007 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# FEE TRANSMITTAL

For FY 2008

Patent Fees are subject to annual revision.



TOTAL FILING FEE OF PAYMENT

\$1455

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge any fees or credit any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 502305

LAW OFFICES OF MARK C. PICKERING

☐ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. FILING FEE/SEARCH FEE/EXAMINATION FEE

LARGE ENTITY

SMALL ENTITY

| Fee Code       | Fee (\$) | Fee Code       | Fee (\$) | Fee Description | Fee Paid |
|----------------|----------|----------------|----------|-----------------|----------|
| 1011/1111/1311 | 1030     | 2011/2111/2311 | 515      | Utility         |          |
| 1012/1112/1312 | 440      | 2012/2112/2312 | 220      | Design          |          |
| 1013/1113/1313 | 680      | 2013/2113/2313 | 340      | Plant           |          |
| 1014/1114/1314 | 1440     | 2014/2114/2314 | 720      | Reissue         |          |
| 1005           | 210      | 2005           | 105      | Provisional     |          |

SUBTOTAL (1) \*

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                        | Extra Claims | Fee from below | Fee Paid |
|------------------------|--------------|----------------|----------|
| Total Claims * - 20 ** | = 0          | x 50           | = \$ 0   |
| Independent * - 3 **   | = 0          | x 210          | = \$ 0   |
| Multiple Dep.          |              | *              | = \$ 0   |

\*\* or number previously paid, if greater; for Reissues, see below:

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 50       | 2202         | 25       | Claim in excess of 20                                      |
| 1201         | 210      | 2201         | 105      | Independent claims in excess of 3                          |
| 1203         | 370      | 2203         | 185      | Multiple dependent claim, if not paid                      |
| 1204         | 210      | 2204         | 105      | ** Reissue ind. claims over original patent                |
| 1205         | 50       | 2205         | 25       | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$0

## SUBMITTED BY

Law Offices of Mark C. Pickering  
P.O. Box 300  
Petaluma, CA 94953-0300  
Telephone: (707) 762-5583  
Facsimile: (707) 762-5504  
Customer No. 33402

## Complete if Known

|                       |                       |
|-----------------------|-----------------------|
| Application Number    | 09/678,414            |
| Filing Date           | October 2, 2000       |
| First Named Inventor  | David W. Carlson      |
| Examiner Name         | Kebede, Brook         |
| Group Art Unit        | 2823                  |
| Attorney Document No. | 100-13604 (P04797-F4) |

## FEE CALCULATION (continued)

### 3. Additional Fees

Large Entity Small Entity

Fee Code Fee

|      |      |      |      |  |      |
|------|------|------|------|--|------|
| 1051 | 130  | 2051 | 65   | Surcharge - late filing fee or oath  |      |
| 1052 | 50   | 2052 | 25   | Surcharge - late provisional filing fee or cover sheet                     |      |
| 1053 | 130  | 1053 | 130  | Non-English specification  |      |
| 1812 | 2520 | 1812 | 2520 | For filing a request for ex parte reexamination                            |      |
| 1804 | 920  | 1804 | 920  | Requesting publication of SIR prior to Examiner action                     |      |
| 1805 | 1840 | 1805 | 1840 | Requesting publication of SIR after Examiner action                        |      |
| 1251 | 120  | 2251 | 60   | Extension for reply within first month                                     |      |
| 1252 | 460  | 2252 | 230  | Extension for reply within second month                                    |      |
| 1253 | 1050 | 2253 | 525  | Extension for reply within third month                                     |      |
| 1254 | 1630 | 2254 | 815  | Extension for reply within fourth month                                    |      |
| 1255 | 2220 | 2255 | 1110 | Extension for reply within fifth month                                     |      |
| 1401 | 510  | 2401 | 255  | Notice of Appeal   |      |
| 1402 | 510  | 2402 | 255  | Filing a brief in support of an appeal                                     |      |
| 1403 | 1030 | 2403 | 510  | Request for oral hearing   |      |
| 1451 | 1510 | 1451 | 1510 | Petition to institute a public use proceeding                              |      |
| 1452 | 510  | 2452 | 255  | Petition to revive-unavoidable   |      |
| 1453 | 1540 | 2453 | 770  | Petition to revive-unintentional   |      |
| 1501 | 1440 | 2501 | 720  | Utility issue fee (or reissue)   | 1440 |
| 1502 | 820  | 2502 | 410  | Design issue fee   |      |
| 1503 | 1130 | 2503 | 565  | Plant issue fee  |      |
| 1460 | 130  | 1460 | 130  | Petitions to the Commissioner  |      |
| 1807 | 50   | 1807 | 50   | Processing fee under 37 CFR 1.17(q)  |      |
| 1806 | 180  | 1806 | 180  | Submission of Information Disclosure Stmt                                  |      |
| 8021 | 40   | 8021 | 40   | Recording each patent assignment per property (times number of properties) |      |
| 1809 | 810  | 2809 | 405  | Filing a submission after final rejection (37 CFR 1.129(a))                |      |
| 1810 | 810  | 2810 | 405  | For each additional invention be examined (37 CFR 1.129(b))                |      |
| 1801 | 810  | 2801 | 405  | Request for Continued Examination (RCE)                                    |      |
| 1802 | 900  | 1802 | 900  | Request for expedited examination of a design application                  |      |

Other: 10 Soft Copies

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

\$15

\$1455

Date: 10-29-07

By: Mark C. Pickering  
Mark C. Pickering, Reg. No. 36,239